

## TRANSACTION FORM

 Switch    Sell    Cooling-off

(Please choose one transaction only)

Master Account Number

In accordance with the requirements of the Capital Markets and Services Act 2007 (CMSA), in the event of a switch transaction, this Transaction Form-Switch/Sell/Cooling-off should not be circulated unless accompanied by the latest prospectus or information memorandum and any supplemental thereto (if any). You should read and understand the contents of the latest prospectus or information memorandum and any supplemental thereto (if any) and product highlights sheet before completing the Transaction Form-Switch/Sell/Cooling-off. Complete in **BLOCK LETTERS** and **BLACK INK** only and tick (✓) where applicable. **Pre-signing of form is strictly prohibited.**

**1 INDIVIDUAL/NON-INDIVIDUAL APPLICANT DETAILS**

S/No.: S024912

**Principal Account Holder Full Name/Name of Organisation**

(as in NRIC/Passport/Company Registration)

**Principal Account Holder NRIC/Passport No./Company Registration No.****Joint Account Holder Full Name**

(as in NRIC/Passport/Birth Certificate)

**Joint Account Holder NRIC/Passport No. or Birth Certificate No. (if minor)****Name of Contact Person**

(for non-individual applicants)

**Contact No.**

Country code\*   -   Area code   -   Phone number   **Office Extension**  
 (where applicable)

**2 TRANSACTION TYPE**

**Note:** If there is no clear indication whether the switch/sell transaction should be in: (a) FULL or PARTIAL, then the default instruction will be FULL switch/redemption; or (b) AMOUNT or UNITS, then the default instruction will be to switch/sell in UNITS. Partial cooling-off request is not allowed.

		Currency (e.g. MYR, USD, SGD)	FULL	PARTIAL
<b>SWITCH</b>	<b>From:</b>		<input type="checkbox"/>	<input type="checkbox"/> Amount or <input type="checkbox"/> Units
			<b>OR</b>	
	<b>To:</b>		<b>SWITCH</b>	Please indicate numbers here
			%	
			%	
			%	
<b>SELL/ COOLING-OFF</b>	<b>From:</b>		<input type="checkbox"/>	<input type="checkbox"/> Amount or <input type="checkbox"/> Units
			<b>OR</b>	
	<b>To:</b>			Please indicate numbers here
			%	
			%	
			%	

**3 PAYMENT DETAILS**

**Note: Only bank accounts in Malaysia are accepted. PAYMENT CANNOT BE MADE TO THIRD PARTY ACCOUNTS.** For joint accounts, the bank account provided must either be in the name of the principal account holder or in the names of both account holders. Redemption proceeds will be made based on payment details as specified in your Master Account Opening Form UNLESS stated otherwise as below:

<b>Bank Account Holder Name</b>		
<b>Currency</b>	<input type="checkbox"/> <b>Malaysian Ringgit (MYR)</b>	<input type="checkbox"/> <b>Other Currency Classes (e.g. AUD/JPY/USD/SGD)</b>
<b>Bank Name</b>		
<b>Account No.</b>		

**4 DECLARATION AND SIGNATURE(S)**

I/We acknowledge receipt and declare that I/we have read and understood the contents of the latest prospectus or information memorandum and supplemental thereto (if any) and product highlights sheet for the fund(s) and the Terms and Conditions of the Eastspring Investments Berhad ("Eastspring") Master Account ("Eastspring Master Account") prior to completing the Transaction Form-Switch/Sell/Cooling-off.

I/We undertake to be bound by the latest prospectus or information memorandum and supplemental thereto (if any) and product highlights sheet for the fund(s), the Terms and Conditions of the Eastspring Master Account and provisions of the deed and supplemental deed (if any) of the fund(s) for my/our initial and all subsequent transactions with Eastspring.

I/We am/are also aware of the fees and charges that I/we will incur directly or/and indirectly when investing in the fund(s).

I/We am/are not acting as a nominee for any undisclosed third party and none of the investment monies invested with Eastspring was derived from proceeds from an unlawful activity as defined by the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001.

I/We hereby agree to indemnify Eastspring against all actions, suits, proceedings, claims, damages, and losses which may be suffered by Eastspring as a result of any inaccuracy of declarations herein.

**For sole proprietor only**
 I hereby declare that I am the sole legal and beneficial owner of all the monies invested with Eastspring.
**For non-listed entities**
 I/We hereby declare that the company is NOT any one of the following:  
 (a) Financial institution; (b) A trust; (c) A non-listed entity of which more than 25% is directly/indirectly owned by any US person/entity; or (d) Required to file a tax return to the United States.
**For sophisticated investors only (for the purchase of wholesale funds)**
 I hereby declare that there has been a change to my status as sophisticated investor as defined under the Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework.
**(Only applicable for switch)**
 I/We declare that there has been material change to the information provided in the previous suitability assessment ("SA") and I/we will submit a new SA form.
Principal Account Holder/  
Authorised Signatory 1

Date:

Joint Account Holder/  
Authorised Signatory 2

Date:

Company/Official Stamp  
(For non-individual applicants)**5 DETAILS OF EASTSPRING UNIT TRUST CONSULTANT ("UTC")/DISTRIBUTOR**

<b>UTC Code</b>	
<b>Reporting Branch</b>	
<b>Name</b>	
<b>Contact No.</b>	
	Distributor Stamp

**TERMS AND CONDITIONS****Compliance with laws in various jurisdictions**

1. Eastspring may be obliged to comply with or, at its sole and absolute discretion, choose to have regard to, observe or fulfil the requirements or expectations of the laws, regulations, orders, guidelines, codes, market standard, good practices and requests of or agreements with public, judicial, taxation, governmental and other regulatory authorities or self-regulatory bodies (the "Authorities" and each an "Authority") in various jurisdictions relating to any matter in connection with its business including without limitation, tax compliance, anti-money laundering, sanctions, terrorism financing or the prevention and detection of crime as amended, promulgated and introduced from time to time (the "Applicable Requirements").
2. In this connection, Eastspring may disclose the particulars or any information concerning Unit Holders, Joint Holders and/or their investments to any Authority in connection with its compliance or adherence (voluntary or otherwise) with the Applicable Requirements.
3. If a Unit Holder and Joint Holder, where applicable, do not:
  - (a) provide to Eastspring in a timely manner with the information or documents required as set out in the Offering Document/Eastspring Master Account or additional information as requested from time to time; and/or
  - (b) provide to Eastspring with information or documents that are up-to-date, accurate or complete,
 such that Eastspring is unable to ensure its ongoing compliance or adherence (whether voluntary or otherwise) with the Applicable Requirements, the Unit Holder and Joint Holder, where applicable, accept and agree that Eastspring may take such steps as it deems fit as set out in the Offering Document of the relevant Funds.

**Cooling-off**

1. Cooling-off is only available to investors who are investing into any unit trust fund managed by Eastspring for the first time.
2. First time investors of Eastspring may exercise their cooling-off right within six (6) business days from the date of investment and the refund will be as follows:
  - a. If the original price of a unit is higher than the price of a unit at the point of exercise of the cooling-off right ("market price"), the market price at the point of cooling-off; or
  - b. If the market price is higher than the original price, the original price at the point of cooling-off.
3. During the cooling-off period, an investor may choose to redeem his/her units instead of exercising his/her cooling-off right. In this case, the redemption procedures as stated in the prospectus of the unit trust will apply.
  - a. In the event an investor chooses to redeem his/her units instead of exercising his/her cooling-off right, the investor will not be able to enjoy the benefits of cooling-off right (i.e. no refund of initial sales charge will be given for redemption) and the redemption proceeds that the investor will receive may be lower than the amount being refunded had he/she exercised his/her cooling-off right if the appreciation in the value of units in the unit trust is less than the initial sales charge; and
  - b. The published price is indicative in nature and can change during the period between submission and processing the redemption request.

Notwithstanding the above, the following first time investors are not entitled to the benefit of a cooling-off period: (i) a corporation or institution; (ii) a staff of the Eastspring; and (iii) persons registered to deal in unit trusts of Eastspring.

Some specific funds (as may be stipulated in the Offering Document) will not have any applicable cooling-off period for any type of first time investor.