

**Note:** For joint account holders, both the Principal Account Holder and Joint Account Holder must complete the declaration. The Joint Account Holder must be 18 years old and above.

**Notes to read before completing this declaration form**

You are advised to read and understand the Information Memorandum and Supplemental Information Memorandum(s) (if any) relating to the Fund before investing in the Fund and for additional terms including but not limited to, minimum initial and subsequent investment amount.

**Please tick (✓) in the appropriate box(es) below.** Only a "Sophisticated Investor(s)" may invest in a wholesale fund. A "Sophisticated Investor" as defined under the Guidelines on Categories of Sophisticated Investors refers to:

| Category                     | Definition   | Principal Account Holder   | Joint Account Holder   | Non-Individual Applicant | Additional verification document |
|------------------------------|--|--|--|--------------------------|----------------------------------|
| 1. High-Net Worth Individual | (i) An individual whose total net personal assets exceeding RM3 million or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than RM1 million of the total net assets.  | <input type="checkbox"/>   | <input type="checkbox"/>   | -                        | -                                |
|                              | (ii) An individual whose total net joint assets with —<br>(a) his or her spouse; or<br>(b) his or her child,<br>exceeding RM3 million or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than RM1 million of the total net assets.  | <input type="checkbox"/> (a)<br><input type="checkbox"/> (b)   | <input type="checkbox"/> (a)<br><input type="checkbox"/> (b)   | -                        | -                                |
|                              | (iii) An individual who jointly with his or her spouse or child, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies in the preceding twelve months.   | <input type="checkbox"/>   | <input type="checkbox"/>   | -                        | -                                |
|                              | (iv) An individual whose total net personal investment portfolio or total net joint investment portfolio* with his or her spouse or child, in any capital market products exceeding RM1 million or its equivalent in foreign currencies.   | <input type="checkbox"/>   | <input type="checkbox"/>   | -                        | -                                |
|                              | (v) An individual who holds any of the following qualifications and has five consecutive years of relevant working experience in finance, economics, actuarial science or accounting —<br>(a) holds a Bachelor's or Master's degree related to Finance, Economics or Actuarial Science;<br>(b) holds a Bachelor's or Master's degree in Accounting; or<br>(c) holds a Master of Business Administration  | <input type="checkbox"/> (a)<br><input type="checkbox"/> (b)<br><input type="checkbox"/> (c)   | <input type="checkbox"/> (a)<br><input type="checkbox"/> (b)<br><input type="checkbox"/> (c)   | -                        | -                                |
|                              | (vi) An individual who holds membership in the associations as set out below:<br>(a) Active Member of Chartered Financial Analyst (CFA) Institute;<br>(b) Chartered Banker of Asian Institute of Chartered Bankers (AICB);<br>(c) Ordinary Member of Financial Markets Association Malaysia (FMAM);<br>(d) Chartered Accountant, C.A(M) of Malaysian Institute of Accountants (MIA);<br>(e) Ordinary Member of Malaysia Association of Tax Accountants (MATA);<br>(f) Accredited Angel Investor of Malaysian Business Angel Network (MBAN);<br>(g) Certified Member of Financial Planning Association of Malaysia (FPAM); or<br>(h) Ordinary Member of Malaysian Financial Planning Council (MFPC) | <input type="checkbox"/> (a)<br><input type="checkbox"/> (b)<br><input type="checkbox"/> (c)<br><input type="checkbox"/> (d)<br><input type="checkbox"/> (e)<br><input type="checkbox"/> (f)<br><input type="checkbox"/> (g)<br><input type="checkbox"/> (h) | <input type="checkbox"/> (a)<br><input type="checkbox"/> (b)<br><input type="checkbox"/> (c)<br><input type="checkbox"/> (d)<br><input type="checkbox"/> (e)<br><input type="checkbox"/> (f)<br><input type="checkbox"/> (g)<br><input type="checkbox"/> (h) | -                        | -                                |
|                              | (vii) An individual who has five consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, investment management, sales and trading, investment research and advisory, financial analysis, or the provision of training in investment products.  | <input type="checkbox"/>   | <input type="checkbox"/>   | -                        | -                                |

| Category                 | Definition   | Principal Account Holder | Joint Account Holder     | Non-Individual Applicant | Additional verification document   |
|--------------------------|--|--------------------------|--------------------------|--------------------------|--|
| 2. Accredited Investor   | (i) A unit trust scheme, private retirement scheme or prescribed investment scheme.  | -                        | -                        | <input type="checkbox"/> | Copy of the approval by the regulator or equivalent.   |
|                          | (ii) Bank Negara.  | -                        | -                        | <input type="checkbox"/> | -  |
|                          | (iii) A licensed person i.e. a person holding a Capital Markets Services Licence and includes a person holding a Capital Markets Services Representative's Licence.  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Copy of the license or equivalent.   |
|                          | (iv) A registered person i.e. a person registered under Section 76 of the Capital Markets and Services Act 2007.   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Copy of the license or equivalent.   |
|                          | (v) An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognised market operator.   | -                        | -                        | <input type="checkbox"/> | Copy of the license or equivalent.   |
|                          | (vi) A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the Securities Commission Malaysia.                              | -                        | -                        | <input type="checkbox"/> | Copy of the license or equivalent.   |
|                          | (vii) A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act 704].   | -                        | -                        | <input type="checkbox"/> | Copy of the license or equivalent.   |
|                          | (viii) An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705].  | -                        | -                        | <input type="checkbox"/> | Copy of the license or equivalent.   |
|                          | (ix) A chief executive officer or a director of any person referred to in 2(iii), 2(iv), 2(v), 2(vi), 2(vii) and 2(viii) above.  | <input type="checkbox"/> | <input type="checkbox"/> | -                        | Copy of the latest statutory document or equivalent.   |
|                          | (x) A closed-end fund approved by the Securities Commission Malaysia.  | -                        | -                        | <input type="checkbox"/> | Copy of the approval by the regulator or equivalent.   |
| 3. High-Net Worth Entity | (i) A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding RM10 million or its equivalent in foreign currencies.   | -                        | -                        | <input type="checkbox"/> | <ul style="list-style-type: none"> <li>• Copy of the registration or equivalent; <b>and</b></li> <li>• Copy of the latest statutory document on the assets under management or equivalent.</li> </ul>              |
|                          | (ii) A corporation that is a public company under the Companies Act 2016 which is approved by the Securities Commission Malaysia to be a trustee under the Capital Market and Services Act 2007 and has assets under its management, exceeding RM10 million or its equivalent in foreign currencies. | -                        | -                        | <input type="checkbox"/> | <ul style="list-style-type: none"> <li>• Copy of the approval by the regulator or equivalent; <b>and</b></li> <li>• Copy of the latest statutory document on the assets under management or equivalent.</li> </ul> |
|                          | (iii) A corporation that is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding RM10 million or its equivalent in foreign currencies.   | -                        | -                        | <input type="checkbox"/> | Copy of the latest supporting document/statutory document on the assets under management and to evidence carrying out fund management solely for its related corporations.   |
|                          | (iv) A corporation with total net assets exceeding RM10 million or its equivalent in foreign currencies based on the last audited accounts.  | -                        | -                        | <input type="checkbox"/> | Copy of the latest audited accounts.   |
|                          | (v) A partnership with total net assets exceeding RM10 million ringgit or its equivalent in foreign currencies.  | -                        | -                        | <input type="checkbox"/> | Copy of the latest audited accounts where applicable.  |
|                          | (vi) A statutory body** established under any laws whose function or mandate is investment in capital market products.   | -                        | -                        | <input type="checkbox"/> | Copy of relevant clause in the Act of Parliament or State enactment or equivalent.   |
|                          | (vii) A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967.   | -                        | -                        | <input type="checkbox"/> | Copy of the approval by the regulator or equivalent.   |

**Note: Copy of the audited accounts and statutory documents shall be certified as true copy by the Director/Secretary/Partner/Trustee.**

\* "Total net personal investment portfolio" or "total net joint investment portfolio" means the total net investments in capital market products (by the individual or the individual together with spouse or child) must exceed RM1 million or its equivalent in foreign currencies using, his/her personal funds such as his/her Employees Provident Fund (EPF) Account 1 and personal savings as which exceeds RM1 million.

\*\* For the avoidance of doubt, "joint management body" established under the Strata Management Act 2013 will not fall within the meaning of a "statutory body", hence, it is NOT a High-Net Worth Entity.

## Declaration and signatures

I/We hereby confirm that I/we am/are a sophisticated investor as defined under Guidelines on Categories of Sophisticated Investors.

I/We hereby acknowledge and agree to indemnify Eastspring Investments Berhad ("Eastspring") against all actions, suits, proceedings, claims, damages, and losses which may be suffered by Eastspring as a result of any inaccuracy of the declarations made herein.

I/We hereby solemnly affirm and declare that all the above are true.

### For Principal Account Holder:

|  |            |   |
|--|------------|---|
| <input type="checkbox"/> <b>High-Net Worth Individual</b><br><input type="checkbox"/> <b>Accredited Investor</b><br>(Please submit additional verification documents where applicable) | Full Name: | Principal Account Holder/<br>Authorised Signatory |
|  | NRIC:      |   |
|  | Date:      |   |

### For Joint Account Holder:

|  |            |  |
|--|------------|--|
| <input type="checkbox"/> <b>High-Net Worth Individual</b><br><input type="checkbox"/> <b>Accredited Investor</b><br>(Please submit additional verification documents where applicable) | Full Name: | Join Account Holder/<br>Authorised Signatory |
|  | NRIC:      |  |
|  | Date:      |  |

### For Non-Individual Applicant:

|  |   |                        |
|--|---|------------------------|
| <input type="checkbox"/> <b>High-Net Worth Entity</b><br>(Please submit additional verification documents where applicable)<br><input type="checkbox"/> <b>Accredited Investor</b><br>(Please submit additional verification documents where applicable) | <b>Company/Organisation Details</b><br>Company Name:<br><br>Registration No.: | Company/Official Stamp |
|  | <b>Contact Person 1</b><br>Full Name:<br><br>NRIC:<br><br>Date:               | Authorised Signatory 1 |
|  | <b>Contact Person 2</b><br>Full Name:<br><br>NRIC:<br><br>Date:               | Authorised Signatory 2 |